



Advisor Breakaway System

LEGAL & COMPLIANCE

The process starts with introductory calls to top legal and compliance professionals so that you know the rules of engagement.

CUSTODIAN DUE DILIGENCE

We set-up private demos with the major custodians: [Schwab](#), [LPL](#), [Fidelity](#), [Goldman & Altruist](#).

FINANCIAL PLANNING

We will help you vet the three major planning tools: [Right Capital](#), [eMoney](#) and [MoneyGuidePro](#). We will also look at unique tools such as [YCharts](#), [Riskalyze](#), [Asset-Map](#), [DataPoints](#) and [Holistiplan](#) that will help differentiate your business.

AFFILIATION OPTIONS

In some instances, affiliating with an 'Aggregator' is the best option. It is very difficult to flush out the bad operators in the space. We make sure you find the right home and avoid the bad actors.

FIRM DESIGN

We take you through a series of 'whiteboard' sessions and map out what your ideal firm would look like in 3-5 years.

PORTFOLIO SYSTEMS

Depending on your choice of custodian, we will help you vet out the optimal trading, reporting and accounting system. Examples include [Orion](#), [Black Diamond](#) and [Investnet](#).

MARKETING & BRANDING

Building your brand is integral. We help you identify best-in-class marketing firms will & tools that fit budget. Our experience will help you not be taken advantage of in the process. Examples: [Snappy Kraken](#), [Lead Pilot](#).

EXECUTION

Once you have designed your firm, we build your *Breakaway Roadmap* and serve as your guide as you execute on your path to independence.



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