THE INDEPENDENCE INCUBATOR™
· CONFLICT-FREE GUIDANCE ·

THE PROCESS

LEGAL & COMPLIANCE

The process starts with introductory calls to top legal and compliance professionals so that you know the rules of engagement.

FIRM DESIGN

We take you through a 'whiteboard' session and map out what your ideal firm would look like in 3-5 years.

CUSTODIAN DUE DILIGENCE

We set-up private demos with the major custodians: Schwab, LPL, Fidelity, Goldman & Altruist.

PORTFOLIO ACCOUNTING

Depending on your choice of custodian, we will help you vet out the optimal trading, reporting and accounting system. Examples include Orion, Black Diamond and Envestnet.

FINANCIAL PLANNING

We will help you vet the three major planning tools: Right Capital, eMoney and MoneyGuidePro. We will also look at unique tools such as YCharts, Riskalyze, Asset-Map, DataPoints and Holistiplan that will help differentiate your business.

MARKETING

Once on the independent side of the industry, your marketing options significantly open up. It can be overwhelming. We help you identify best-in-class tools that fit your business. Examples: Snappy Kraken, Lead Pilot.

AFFILIATION OPTIONS

In some instances, affiliating with an 'Aggregator' is the best option. It is very difficult to flush out the bad operators in the space. We make sure you find the right home and avoid the bad actors.

EXECUTION

Once you have designed your firm and built your transition plan, we serve as your 'Sherpa' as you execute on your path to independence.

Resilient Advisor

For more details, visit www.independenceincubator.com.